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Attorney Docket No.	2270-0042	Total Pages	51
First Named Inventor or Application Identifier			
Tadashi Sakamoto			
Express Mail Label No.	EL 477 032 955 US		

(Only for new nonprovisional applications under 37 CFR 1.53(b))

ADDRESS TO: Assistant Commissioner for Patents
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Washington, DC 20231

See MPEP chapter 600 concerning utility patent application contents.

- | | |
|---|---|
| <p>3. <input checked="" type="checkbox"/> Fee Transmittal Form [Total Pages <u>1+1=2</u>]
 <i>Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>27</u>]
 <i>(preferred arrangement set forth below)</i>
 -Descriptive title of the Invention
 -Cross Reference to Related Applications
 -Statement Regarding Fed sponsored R&D
 -Reference to Microfiche Appendix
 -Background of the Invention
 -Brief Summary of the Invention
 -Brief Description of the Drawings <i>(if filed)</i>
 -Detailed Description of the Invention <i>(including drawings, if filed)</i>
 -Claim(s)
 -Abstract of the Disclosure</p> <p><input checked="" type="checkbox"/> Drawing(s) <i>(35 USC 113)</i> [Total Sheets <u>20</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Sheets <u>2</u>]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
 <i>(for continuation/divisional with Box 19 completed)</i></p> <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
 <i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
| ACCOMPANYING APPLICATION PARTS | |
| <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney
 <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Copies of IDS
 <i>(IDS)/PTO-1449</i> Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
 <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)
 <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(i).
 Applicant must attach form PTO/SB/35 or it's equivalent</p> <p>17. <input type="checkbox"/> Other:</p> | |

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement ☐ Copies of IDS
(IDS)/PTO-1449 Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(i).
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17. ☐ Other:

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PENNIE & EDMONDS LLP
 COUNSELLORS AT LAW
 1155 Avenue of Americas
 New York, N.Y. 10036-2711

ATTORNEY DOCKET NO. 2270-0042Date: December 6, 2001

Hon. Commissioner for Patents
Box PATENT APPLICATION
 Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Tadashi Sakamoto, Shigeki Aisawa, Masahiko Jinno,
 Seiji Norimatsu and Jun-ichi Kani

Executed on: December 3, 2001

Title of Invention: **WAVELENGTH DIVISION MULTIPLEX OPTICAL TRANSMISSION SYSTEM****PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	8	-20	0	\$18.00 each	\$ 0.00
Independent	4	-3	1	\$84.00 each	\$ 84.00
Minimum Fee					\$ 740.00
Multiple Dependency Fee If Applicable (\$280.00)					\$ 0.00
Total					\$ 824.00
Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					- \$ 0.00
Total Filing Fee					\$ 824.00

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).
- ☒ Priority of application nos. 09-030618 and 09-111062 filed February 14, 1997 and April 28, 1997, respectively, in Japan is claimed under 35 U.S.C. § 119. Certified copies of the priority applications were filed in the international application PCT/JP98/00612 filed February 16, 1998, which is the international stage of U.S. application no. 09/171,193 filed March 17, 1999.
- ☒ Amend the specification by inserting before the first line the following sentence:
 --This is a continuation-in-part of application no. 09/171,193 filed March 17, 1999, now pending.--

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is

enclosed.

Respectfully submitted,


 Charles E. Miller
PENNIE & EDMONDS LLP

24,576
 (Reg. No.)

Enclosures

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.